



ABBVIE GLOBAL SUPPLIER SUSTAINABILITY PROGRAM

Annual Supplier Sustainability Survey

As an important supplier to AbbVie, we would like to document and assess your company's activities and progress regarding sustainability / environment, health and safety (EHS) programs. At AbbVie, sustainability means creating lasting social, environmental, and economic value by addressing the needs of the company's wide-ranging stakeholder base. The goal is to realize today's priorities and commitments in a way that ensures that the company and the communities depending on it can thrive for generations to come. AbbVie's comprehensive sustainability program includes priorities to reflect issues of key concern and where the company is uniquely positioned to have a positive impact.

The questions included in this survey align with AbbVie's sustainability priorities and goals. One of AbbVie's priorities and goals states AbbVie will drive a Sustainable Supply Chain by incorporating environmental/sustainable principles into AbbVie's purchasing program. Suppliers are not penalized by their responses; we are striving to continually improve the sustainable level of our supply chain. Our objective is to track and monitor our suppliers' activities and progress towards environment / sustainability programs with the goal of demonstrating continuous year-over-year improvement as companies put more attention towards current sustainability / environment issues.

AbbVie's promise, as shown at <http://www.abbvie.com/responsibility/operate-responsibly.html>, demonstrates the company's commitment to addressing global sustainability challenges and outlines progress towards several of our key initiatives.

If you have any questions regarding this survey, please contact Marcell Reid at marcell.reid@abbvie.com or 847-938-9317.

Thank you for your support!

Please click [here](#) to review AbbVie's Supplier Code of Conduct.

Click here to indicate that you have read and understood the Supplier Code of Conduct.

Yes No

If you selected "no" please offer your feedback.

1. Do you provide your suppliers with a copy of your company's supplier guidelines / expectations?

Yes No

COMPANY PROFILE

2. Contact information

Company name
Parent company name (if applicable)
Address
State/Province/Country
Completed by
Title
Email address
Date completed

3. Site Classification

For each of your operating sites that provide goods or services to AbbVie, please indicate if the site is classified as:

- Urban: Urbanized area/town/city of 50,000 or more residents
- Semi-urban: Area/town/city of at least 2,500 and less than 50,000 residents (Urban Clusters)
- Rural: Any population and territory not included within an urban or semi-urban area
- Industrial Park: A portion of a city that is zoned for industrial use (versus residential or commercial use)

ENVIRONMENTAL / SUSTAINABILITY PROGRAM

1. **Does your company develop and communicate a company Environmental and/or Sustainability Policy?**

If yes, please provide a copy to marcell.reid@abbvie.com

Under development

No

N/A

2. **Has your company implemented an Environmental Management Standards system (i.e., ISO 14001)?**

If yes, please provide a copy of certification and external verification to marcell.reid@abbvie.com

Under development

No

N/A

3. **Is your company a U.S. EPA SmartWay Transport Partner (or non-U.S. equivalent that promotes fuel efficiency and air pollutant reductions in the supply chain)?**

Yes

Under development

No

N/A

4. **Does your company measure and publicly report Environmental Information (i.e., through an annual environmental, sustainability, or corporate socially responsible/CSR report)?**

If yes, please provide a copy to marcell.reid@abbvie.com or provide a link to web address.

Under development

No

N/A

HUMAN RIGHTS AND SAFETY

5. **Does your company have ethical and legal employment practices assuring compliance with all applicable employment laws impacting employees and suppliers?**

If yes, please provide a copy or link to web address to marcell.reid@abbvie.com

Under development

No

6. Does your company have a goal and programs to prevent and reduce work-related employee injuries and illnesses?

If yes, please provide a copy or link to web address to marcell.reid@abbvie.com

Under development

No

7. Does your company have a written policy communicated to all employees stating that it does not use forced, bonded or compulsory labor or human trafficking and slavery?

If yes, please provide a copy or link to web address to marcell.reid@abbvie.com

Under development

No

8. Does your company have a documented process for promoting the standards of ethical purchasing of its suppliers and subcontractors relative to forced, bonded or compulsory labor or human trafficking and slavery?

If yes, please provide a copy or link to web address to marcell.reid@abbvie.com

Under development

No

9. Does your company have a program or procedure to ensure the confidentiality and protection of workers that report suspected violations of ethical misconduct?

Yes

No

10. Does your company have written policies and programs to establish and maintain a diverse workplace environment?

Yes

No

11. Are the minimum hiring age and minimum wages for your employees in accordance with local/national laws?

Yes

No

12. Does your company have an emergency preparedness response plan for a catastrophic incident affecting workplace safety?

Yes

No

13. Has your company been adjudged to have violated, or been the subject of any suit, claim, inquiry or investigation alleging its violation, of applicable laws, rules and regulations pertaining to forced, bonded or compulsory labor or human trafficking and slavery?

Yes

No

If yes, please describe the issue and any corrective action taken to remediate the finding.

REDUCTIONS IN CARBON FOOTPRINT

14. Does your company have a goal and programs to reduce Greenhouse Gas (GHG) Emissions?

If yes, please provide a goal statement and performance to date

Under development

No

N/A

15. Does your company have initiatives to use renewable energy [i.e., biomass, solar, wind, or purchase renewable energy certificates (RECs)]

If yes, please provide details

Under development

No

N/A

16. Does your company respond to the Carbon Disclosure Project (CDP)?

If yes, please provide details

No

N/A

REDUCTION IN NATURAL RESOURCES

17. Does your company have a goal and programs to Reduce Energy Use?

Yes - If yes, please provide goal statement and performance to date

Under Development

No

N/A

18. Does your company have a goal and programs to Reduce Packaging Material?

If yes, please provide goal statement and performance to date

If yes, is the packaging minimized?

Under development

No

N/A

19. Does your company have a goal and programs to Reduce Waste Generation?

If yes, please provide goal statement and performance to date.

Under development

No

N/A

20. Does your company have a goal and programs to Reduce Water Use?

If yes, please provide goal statement and performance to date.

Under development

No

N/A

ENVIRONMENTAL INFORMATION

21. Does your company comply with all national and local laws relating to environment, safety and health?

Yes

Under development (We are working to address some requirements)

No

22. Does your company monitor emissions to land, water and air from the manufacturing process? (If yes, attach verification that identifies what is monitored and the frequency of measurement and reporting).

Yes - please attach verification that identifies what is monitored and the frequency of measurement and reporting. Please provide reporting information to marcell.reid@abbvie.com

Under development (We are working to begin monitoring emissions in the future)

No

N/A

23. Is continuous dialogue maintained with your raw material suppliers regarding their control of emissions to land, water and air?

Yes - please provide verification to marcell.reid@abbvie.com

Under development (We are working to begin future continuous dialogue with our raw material suppliers)

No

N/A

24. Are there formal systems in place to identify and evaluate hazardous chemicals and materials in terms of their use, production, release and safe disposal?

Yes

No

N/A

25. How does your company dispose of waste water?

Discharge to open waterway

Discharge to public treatment system

Discharge to on site treatment system

Direct to ground

Other, please specify

N/A

26. Does your company produce toxic or hazardous wastes?

Yes (If yes, how is the waste disposed?)

No

ENHANCED PRODUCT STEWARDSHIP

27. Does your company use a Product Stewardship / Lifecycle Approach to reduce the environmental impacts of its product(s)?

Yes - If yes, please provide information on all parts of a product's life cycle, including disposal methods?

Under development

No

N/A

28. Is your company compliant with the Electronic Products RoHS (restriction of hazardous substances) regulation?

Yes

Under development

If no, please provide details

N/A

29. Does your company's product(s) contain Batteries that are marked in accordance with the EU Batteries Directive?

Yes

Under development

No

N/A

30. Your company's products DO NOT CONTAIN any EU REACH (EC 1907/2006) Substances of Very High Concern (SVHC) at levels >0.1% w/w.

True - There are no SVHCs >0.1% w/w in any of our products.

Product Evaluation Underway

False - If false, please provide the substance name and amount present in the product

N/A

31. Does your company's Packaging / Products contain post-consumer recycled content?

Yes – If yes, how much?

Under development

No

N/A

32. Does your company use Forest Stewardship Council (FSC) certified products?

Yes - If yes, please provide specifics on the details of program

Under development

No

N/A

33. Does your company provide a Product Reuse or Recycling Program?

Yes - If yes, please provide specifics on the details of program

Under development

No

N/A

34. Does your company provide an end-of-life take-back program for your products / packaging?

Yes - If yes, please provide specifics on the details of take-back program and where it operates

Under development

No

N/A

35. Does your company have a Supplier Diversity Program or Small Business Subcontracting obligation to the federal government?

Yes

Under development

No – If no, what future plans to do you have to develop either and by when?

N/A

36. Does your company have a second tier Supplier Diversity Program or reporting requirements?

Yes

Under development

No

N/A

37. Is your company currently working on any environmental / sustainability programs or initiatives that were not asked about in this survey

Yes – please provide additional information

No

As a follow up to the items requested in this survey, please provide a copy of the following to marcell.reid@abbvie.com:

- Environmental and/or Sustainability Policy
- Certification and external verification of Environmental Management Standards system (i.e., ISO 14001, OHSAS 18001)
- Annual environmental, sustainability, or corporate socially responsible (CSR) report
- Copy of policy addressing ethical and legal employment practices assuring compliance with all applicable employment laws impacting employees and suppliers
- Copy or link to web address of company's goal / programs to prevent and reduce work-related employee injuries and illnesses
- Copy of link to web address of company's process for promoting the standards of ethical purchasing of its suppliers and subcontractors relative to forced, bonded or compulsory labor or human trafficking and slavery
- Copy of company's goal and programs to reduce Greenhouse Gas (GHG) Emissions
- Summary of violations (NOV – notice of violations) or citations from regulatory agencies of EHS laws or regulations received in the past two years.
- Summary of any sustainability / EHS awards recognition, specific memberships or related certifications your company may have received in past two years.