

# Privacy Notice and Consent for Investigators and Other Site Staff Participating in AbbVie Research

## Introduction

AbbVie Healthcare India Private Limited, with its address at Level 6&7, Prestige Obelisk, No. 3 Kasturba Road, Bangalore – 560001, is a biopharma company which is part of a global group of companies focused on developing leading treatments and innovations worldwide (hereinafter collectively referred to as “AbbVie” or “we”). At AbbVie, we take great care in processing personal data in compliance with applicable laws. We only collect and use the information necessary to achieve the purposes described below. Within the meaning of applicable data protection law, AbbVie Healthcare India Private Limited is the data fiduciary.

If you would like to access this privacy notice and consent in a language other than the language that you are currently reading, please go to <https://privacy.abbvie/for-clinical-investigators.html>.

## Personal data that we collect about you and why

In anticipation and as part of your participation in AbbVie’s research and development activities, we may collect personal data about you. AbbVie may collect such personal data from a variety of sources, including directly from you (e.g., in your CVs, resumes or other documents or forms provided by you to AbbVie) and indirectly (e.g., from the research center where you work or conduct/support research activities, or from publicly available sources, including those available online which may include websites such as [www.clinicaltrials.gov](http://www.clinicaltrials.gov), [www.clinicaltrialsregister.eu](http://www.clinicaltrialsregister.eu), and the United States FDA’s Debarment and Disqualified and Restricted Lists for clinical investigators).

AbbVie may collect and use some or all of the following categories of personal data:

- **personal details**, such as your name, age, gender and contact details;
- **pictures, video or audio recordings**;
- **professional details**, such as your place of practice, job title, the medical field in which you are active, your professional qualifications and scientific activities (such as previous clinical trial experience, and participation in past or pending research studies with AbbVie and other companies), publication of academic or scientific research and articles, and membership in associations and boards;
- **financial details**, such as payment-related information, including tax identification number and amounts of payments we make to you; and
- **details of interactions with us**, such as what kind of meetings we have held, topics discussed, your knowledge of and questions you have had on our research activities and products.

Your personal data will be processed by AbbVie for the following purposes:

- managing our relationship with you, including planning, organizing and reviewing the research we perform with you and contacting you;
- helping ensure that we provide you with information about AbbVie’s planned research activities that is relevant given your expertise, interests and preferences;
- complying with applicable laws and regulations (e.g., in connection with pharmacovigilance, public registration of the clinical studies in which you participate on web sites designed for this purpose, such as [www.clinicaltrials.gov](http://www.clinicaltrials.gov) or [www.clinicaltrialsregister.eu](http://www.clinicaltrialsregister.eu), transparency reporting, and completing clinical study reports).

You are not required by law or by a contract to provide your personal data to AbbVie. However, in order to consider you for participation in AbbVie’s research studies and maintain our relationship with you, AbbVie needs to process your personal data.

## Sharing your personal data

AbbVie may share your personal data with others, from time to time, in connection with the purposes described in this notice. In particular, we may make your personal data available to:

- all entities within the AbbVie group, including AbbVie Inc. located in the United States as our parent company and the AbbVie entity in your home country assisting the sponsor, if applicable (e.g., in the case of shared regional or global databases) and companies and individuals with whom we may partner in connection with proposed or actual research initiatives;

- third party companies that supply goods or services to AbbVie, such as legal counsel, auditors, IT service suppliers, and clinical trial service providers;
- ethics committees and government authorities located both in your home country and in other countries, including in the United States, where AbbVie conducts its research activities;
- other third parties pursuant to a court order, to protect the interests of AbbVie, or where otherwise required by law or legal process; and
- actual or prospective purchasers of AbbVie businesses in the event of a sale, merger, or acquisition.

Sharing your personal data as described above may involve transferring it to countries, including countries outside of your home country, whose data protection and privacy laws may not be equivalent to, or as protective as, those that exist in your home country. To ensure an adequate level of data protection is provided, personal data transferred within the AbbVie group of companies, including to AbbVie Inc. in the United States, is done under internal privacy agreements.

Where AbbVie uses service providers or collaborates with its research partners that have access to personal data, it requires such service providers and research partners to protect your data and to process your personal data in accordance with this data protection notice and for no other purpose. Any transfers of personal data to third parties outside your home country will be done in compliance with the international data transfer restrictions that apply.

### **Securing and retaining your personal data**

AbbVie will take appropriate technical and organizational security measures to protect your personal data consistent with applicable privacy and data security laws. When AbbVie retains a third-party service provider to process personal data, that provider will be carefully selected and required to use appropriate measures to protect the confidentiality and security of personal data. AbbVie retains your personal data only for as long as we continue to collaborate with you on research related matters, unless a longer retention period is required or permitted by Good Clinical Practices (GCP) and clinical trial related laws and regulations.

### **Your rights**

You may contact AbbVie to request access to personal data that we process about you and that we correct inaccurate data and complete any incomplete data. In accordance with the conditions and requirements of applicable law, you may object to our processing your personal data and request that we erase personal data about you, restrict processing of such data, and transmit your personal data to you or another data fiduciary. You may nominate any other individual to exercise your rights in the event of your death or incapacity, in accordance with the provisions of applicable law.

If you decide to withdraw your consent for the processing of your personal data, you will no longer be able to participate in the AbbVie research, and such withdrawal shall not affect the legality of processing of the personal data based on consent before its withdrawal.

To exercise your rights, including to withdraw your consent, you can submit your personal data inquiry at [abbvie.com/privacy-inquiry.html](http://abbvie.com/privacy-inquiry.html) or by sending an e-mail to [privacyoffice@abbvie.com](mailto:privacyoffice@abbvie.com). If AbbVie is not able to provide the requested data or fulfill your request, you will be provided with reasons for such decision. In addition, you are entitled to lodge a complaint with your local data protection authority.

### **Grievance redressal mechanism**

In the event of any concerns or grievances related to any act or omission of AbbVie Healthcare India Private Limited in processing your personal data, you are encouraged to reach out to the grievance redressal officer by submitting a detailed description of the grievance at [abbvie.com/privacy-inquiry.html](http://abbvie.com/privacy-inquiry.html) or by sending an e-mail to [privacyoffice@abbvie.com](mailto:privacyoffice@abbvie.com). All grievances will be treated with confidentiality, and efforts will be made to resolve them promptly and fairly.

**Consent**

By signing below, I consent to the collection, use, and sharing of my personal data as described in this document.

Printed Name: \_\_\_\_\_

Signature: \_\_\_\_\_

Date: \_\_\_\_\_