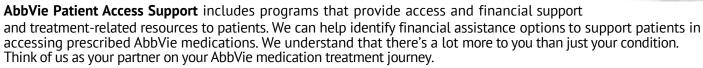


IMBRUVICA® (ibrutinib) Patient Access Support



Getting Started

If you are a patient:

- 1 Carefully read the terms of participation, privacy notice, financial information and HIPAA authorizations on pages 1–3.
- Print and complete the enrollment form on page 4.
- Provide your consent for eligibility determination by checking the boxes in Section 5 and confirm your understanding of the Terms of Participation by providing your signature and date. You must also provide a separate signature and date for HIPAA authorization.
- 4 If you have health insurance, please include front and back copies of all insurance cards.
- Please review Independent Charitable Patient Assistance Programs that may be available and provide a confirmation showing a denial of funding or funding is not available. A printout from the ICPAP is sufficient. ICPAPs are charitable organizations (often referred to as Co-Pay Foundations) that provide need based financial assistance to help patients obtain therapies prescribed or recommended by their HCP, without regard to the manufacturer or supplier of those therapies or the patient's HCP
- 6 Keep a copy of this application for your records.

Submitting an Application

AbbVie can start assessing you for eligibility of Patient Access Support programs when pages 4 and 5 of this form and required documentation are submitted by you and your prescriber's office in one of the following ways:



Fax to AbbVie: 1-866-286-6024



myAbbVie Assist PO Box 270 Somerville, NJ 08876

Upon review of a completed application, we will notify the patient and the prescriber about eligibility. If approved for myAbbVie Assist Patient Assistance, we will ship the medication to the patient's home. Please call 1-800-222-6885 to request refills.

Financial Information

AbbVie offers a financial assistance program that provides access and financial support to those meeting program guidelines. By signing this application form, you provide written instructions to the Program under the Fair Credit Reporting Act authorizing the Program to obtain information about your credit profile from credit reporting agencies or other sources. You authorize AbbVie to obtain such information solely to determine Patient Assistance Program (PAP) eligibility, and to perform an electronic income verification. You understand that you may be required to provide additional financial documentation for Patient Assistance consideration.

Questions? Call 1-800-222-6885

If you are the prescriber:

- Complete the enrollment & prescription form on page 5.
- Confirm you will abide by the terms and conditions and that the prescription is accurate by checking the boxes in section 9 and providing your signature and date.



Patient Access Support

Terms of Participation

AbbVie Patient Access Support offers the following access programs:

PATIENT ASSISTANCE PROGRAM (PAP): myAbbVie Assist provides free medicine to qualifying patients. Participation in our program is free; we do not collect any fees from people seeking our assistance. Medication assistance is dependent on your ability to meet the eligibility criteria for our program as determined by myAbbVie Assist, myAbbVie Assist does not have any obligation to provide the program services to you and is not liable in the provision of these services. Patients with insurance plans or employers participating in an alternate funding program (also sometimes referred to as patient advocacy programs, specialty networks, SHARx, Paydhealth, or Payer Matrix, among other names) requiring them to apply to a manufacturer's patient assistance program or otherwise pursue specialty drug prescription coverage through an alternate funding vendor as a condition of, requirement for, or prerequisite to coverage of relevant AbbVie products, or that otherwise denies, restricts, eliminates, delays, alters, or withholds any insurance benefits or coverage contingent upon application to, or denial of eligibility for, specialty drug prescription coverage through the alternate funding program are not eligible for the myAbbVie Assist program. You agree to inform myAbbVie Assist if you are a member of such an insurance plan or if you are applying to myAbbVie Assist on behalf of a patient who is a member of such an insurance plan. The program may be changed or discontinued without notice. You will not seek reimbursement for any products dispensed under the program. You will notify the program if your insurance or financial situation changes. If this application has been completed by a personal representative, the personal representative will provide a copy of this completed application to you.

If you are a member of a Medicare plan including a Medicare Prescription Drug Plan and are qualified for program assistance, you will:

- (i) be eligible to obtain the medication from the program for a calendar year term;
- (ii) not purchase this medication under your Medicare plan while enrolled in the program;
- (iii) not submit claims nor seek true out-of-pocket (TrOOP) credit for the medication provided during your enrollment;
- (iv) myAbbVie Assist will inform your Medicare Prescription Drug Plan, if applicable that you are receiving your medication at no cost outside of the Medicare Part D benefit.

If you have questions, want to update your information, or terminate your enrollment, please call 1-800-222-6885 or write to us at PO Box 270 Somerville, NJ 08876.



Patient Access Support

Privacy Notice

AbbVie may collect your personal data through your online and offline interactions with us, including your contact, transaction, financial, demographic, insurance, geolocation, and health-related data. We may also collect your online usage data automatically through cookies and similar technologies. We may use this information for several purposes, such as to provide and administer the Program, including eligibility, administration, income verification, internal and external compliance obligations, and to customize your experiences, as well as for research and data analytics to improve our services and products. We retain your personal data for as long as necessary to fulfill these purposes or to comply with our record retention obligations. We do not sell your personal data, but may use and disclose your personal data with marketing and advertising partners to deliver you ads based on your interests inferred from your activity across other unaffiliated sites and services ("online targeted advertising") and for website analytics. To opt out of the use or disclosure of your personal data for online targeted advertising or for website analytics, go to Your Privacy Choices, https://abbviemetadata.my.site.com/AbbvieDSRM on our website. For more information on the personal data categories we collect, the purposes for their collection, disclosures to third parties, and data retention, visit our Privacy Policy at https://privacy.abbvie/privacy-policies/us-privacy-policy.html.

HIPAA Authorization

AUTHORIZATION TO USE AND DISCLOSE PROTECTED HEALTH INFORMATION: I authorize my health care providers and staff, health plan, and pharmacies (collectively, my "Healthcare Providers") to disclose individually identifiable information about me, my health or condition(s), treatment and care that I have received, my insurance coverage, my payment information, and my medication history and prescriptions (collectively, "Protected Health Information") to AbbVie Inc., the AbbVie Patient Assistance Foundation and/or its designated affiliates, agents, representatives, and service providers (collectively, "AbbVie") in order for AbbVie to (i) enroll me in, provide, operate and administer the AbbVie Financial Support Program ("Program"); (ii) provide me with information concerning the Program; and (iii) develop, evaluate, and improve products, services, materials, and programs related to my condition or treatment. I understand that Protected Health Information disclosed to AbbVie under this Authorization will no longer be protected by HIPAA and may be subject to redisclosure by AbbVie. I understand that I am not required to sign this Authorization and that my Healthcare Providers will not otherwise condition my treatment, payment, health insurance enrollment, or eligibility for health care benefits to which I am otherwise entitled on whether I sign this Authorization. However, I understand that if I do not sign this Authorization, I cannot take part in the Program. I understand that this Authorization will expire once I am no longer participating in the Program, unless I cancel it sooner.

I understand that I may cancel this Authorization at any time by making a data subject rights request at https://abbv.force.com/AbbvieDSRM/s/?language=en_US or by or by writing to privacydsr@abbvie.com. However, I understand that if I cancel this Authorization, it will end my enrollment in the Program. I understand that cancelling this Authorization will not affect any use or disclosure of my Protected Health Information that has already taken place in reliance on this Authorization.

Patient Access Support: Enrollment Form

PLEASE SUBMIT THIS PAGE. Fax to AbbVie: 1-866-286-6024

Please print clearly.	⇩ TO BE COMPLETE	D BY PATIENT \mathbb{Q}							
1 PATIENT INFORMATION: See Privacy Notice or	າ page 3 for information aboເ	ut how your personal data wi	ll be collected, used, and disclose	d.					
FIRST NAME:		LAST NAME:							
DATE OF BIRTH: / /	SEX: □ MALE	□ FEMALE	SSN (last four digits ONLY):						
MAILING ADDRESS:		CITY:	STATE:	ZIP:					
SHIPPING ADDRESS (no P.O. box):		CITY:	STATE:	ZIP:					
PHONE: ☐ HOME ☐ MOBILE*		EMAIL:							
*OPTIONAL: To consent to text messaging, see the consent language	on page 3 of the Patient Privacy	Notice and Consent Terms secti	on of this form.						
2 INSURANCE AND FINANCIAL INFORMATI	ON: A copy of front and ba	ck sides of ALL Insurance Ca	rds is REQUIRED.						
INSURANCE TYPE: ☐ No insurance ☐ Medicare ☐ Med HAS YOUR INSURANCE DENIED COVERAGE FOR THE HAVE YOU BEEN DENIED ICPAP FUNDING?* ☐ YES EMPLOYER NAME (if applicable):	REQUESTED MEDICATION? □ NO *If yes, please i	,	*If yes, please include denial doc etter.						
MEDICAL INSURANCE COMPANY:	<u>Rx</u>	ID #:	Rx GROUP #:						
MEDICAL ID #: GRO	OUP #: Rx	BIN #:	Rx PCN #:						
CARDHOLDER NAME:	<u>Mo</u>	onthly Total income for eve	eryone in the household: \$						
Please provide your Medicare Part A ID #: Has your employer, insurance company, or another third p apply to the patient assistance program at AbbVie?	arty directed you to	tal number of people in yo O YOU HAVE A MEDICARE	ur household (including yourself, SUPPLEMENT?: □ YES [): ⊐ NO □ UNSUR					
3 PRESCRIBER INFORMATION:									
TREATING PHYSICIAN'S NAME:		OFFICE PHONE:	OFFICE FAX:						
4 ADDITIONAL PERMISSION FOR PURPOSE	S OF THE PROGRAM (optional):							
I permit AbbVie to speak with the following person about this application: (AbbVie reserves the right to limit some program-related communications to the patient and/or their legal representative only.)									
NAME:	RELATIONSHIP:		PHONE NUMBER:						
5 PATIENT CONSENT: Please review Terms of P	articipation, Privacy Notice	, Financial Information and	HIPAA Authorization on pages	1-3.					
☐ REQUIRED—PRIVACY NOTICE: I consent to the collection Privacy Notice in the "How We May Disclose Personal Dat privacy laws, and I have the right to withdraw my consent	a" section https://abbv.ie/Priva	cyDiscloseData. My consent is	required to process sensitive person	onal data under certain					
☐ FAIR CREDIT REPORTING ACT CONSENT: I understand the to obtain information about my credit profile from credit report you do not consent, submit your most recent tax return	porting agencies or other source								
■ SMS TEXT CONSENT: I consent to receive automated an notifications to the above mobile number. Message and dat I can reply STOP to opt out at any time. View Privacy Notice	ta rates may apply. I am not re	quired to consent as a condition	n of receiving goods or services. I c	an reply HELP for help.					
■ MARKETING CONSENT: I consent to the collection, use, programs, services, scientific research and other research opp ie/PrivacyUseData,"How we may disclose Personal Data", habbv.ie/PrivacyTrackingCollection of our Privacy Notice, hi under certain privacy laws, and I have the right to withdraw	ortunities, and for online target ttps://abbvie/PrivacyDiscloseDa ttps://privacy.abbvie/privacy-po	ed advertising, as further descr ata and "Cookies and similar to blicies/us-privacy-policy.html. I	ibed in the "How we may use Pers racking and data collection techno My consent is required to process:	onal Data", https://abbv. logies" sections, https:// sensitive personal data					
My signature below certifies that I have provided accurate and	d complete information and th	nat I have read, understood, ar	nd agree to the Patient Terms of Pa	articipation on page 2.					
REQUIRED – PATIENT SIGNATURE or LEGAL REPRESEN	TATIVE*:		DATE: /						

My signature certifies that I have read, understood, and agree to the release of my protected health information pursuant to the HIPAA Authorization. Note: You have a right to receive a copy of this Authorization. You may print a copy of or save this Authorization and retain a copy for your records.

REQUIRED - PATIENT SIGNATURE or LEGAL REPRESENTATIVE*:

*Only representatives with legal authority for healthcare decisions may apply on a patient's behalf. **Indicate relationship** next to signature if signing on behalf of the patient.

DATE:

Patient Access Support: Enrollment & Prescription Form

PLEASE SUBMIT THIS PAGE. Fax to AbbVie: 1-866-286-6024

Please print clearly.

Must be completed by a license	d prescriber	and faxed	d directly	from a healthca	re office.		
6 PRESCRIBER INFORMATION:							
PRESCRIBER'S NAME:		□ MD □	DO 🗆 0	OTHER :			
NPI #:	SLN:						
OFFICE CONTACT NAME:	OFFICE	PHONE:			OFFICE FAX:		
ADDRESS:	CITY:				STATE:	ZIP:	
(if applicable) COLLABORATING MD NAME:					(if applicable)	NPI #:	
SLN:	SLN EX	PIRATION	DATE:	/ /	/		
7 PATIENT INFORMATION:							
PATIENT NAME:	DOB:	/	/		PHONE:		
DRUG ALLERGIES:							
CONCOMITANT MEDICATIONS:							
HAS YOUR PATIENT'S INSURANCE DENIED COVERAGE FOR THE RE*If yes, please include denial document.	QUESTED	MEDICAT	ON?*	□ YES [□ NO		
8 PRESCRIPTION INFORMATION: PLEASE SUBMIT PRESCRIPTION	CRIPTIONS	ACCORDI	NG TO Y	YOUR SPECIFIC	STATE LAWS,	RULES AND REGULATIONS.	
MEDICATION	QUAN'	TITY		DIRECTIO	NS FOR USE	REFILLS	
☐ IMBRUVICA 140MG CAPSULE 120 ct BOTTLE							
☐ IMBRUVICA 140MG TABLET 28 ct BLISTER PACK							
☐ IMBRUVICA 70MG CAPSULE 28 ct BOTTLE						□ 1 YEAR	
□ IMBRUVICA 280MG TABLET 28 ct BLISTER PACK						SUPPLY OTHER:	
□ IMBRUVICA 420MG TABLET 28 ct BLISTER PACK							
☐ IMBRUVICA 140MG CAPSULE 90 ct BOTTLE							
☐ IMBRUVICA 70mL ORAL SOLUTION 150 mL BOTTLE							
							_
9 PRESCRIBER CERTIFICATION: See Program Terms of F	Participation	on on pa	ae 2.				
, and the second	<u> </u>		g·				
□ SUBSTITUTION PERMITTED □ DISPENSE I understand that this prescription may be transmitted to an AbbVie-au certify that the above therapy is medically necessary and that the informany medication dispensed hereunder from any government program of myAbbVie Assist Program: myAbbVie Assist reserves the right to requive without notice. I also understand that the applicant's acceptance into the system of the program for the dispensing of the medication called for herein.	thorized plot mation properties the program the program transmit this	narmacy fo vided is a y, includir nal inform n should r s prescrip	ccurate t ag patien nation if not influe tion form	to the best of m it, nor will I sell, needed and to ence treatment n electronically, I	y knowledge. I trade or distrib change or disco decisions. by facsimile, or	shall not seek reimbursement oute any such medication. ontinue the program at any tir	for ne,

PRESCRIBER'S SIGNATURE (REQUIRED):

RUBBER STAMPS, SIGNATURE BY OTHER OFFICE PERSONNEL OR COMPUTER-GENERATED IMAGES ARE NOT ALLOWED

IMPORTANT INFORMATION: AbbVie may collect your personal data about you through your online and offline interactions with us, including your contact, transaction, financial account, demographic, geolocation, payment, and professional data. We may also collect your online usage data automatically through cookies and similar technologies. We use this data for several purposes, such as to comply with our legal obligations, to perform a contract with you, and to provide and improve our services and products and to customize your experiences. We retain your personal data only for as long as necessary to fulfill these purposes or to comply with our record retention obligations. We do not sell your personal data, but we may use and disclose it to marketing and advertising third party partners to deliver you ads based on your interests inferred from your activity across other unaffliates and vertising and afor website analytics. To opt out of the use or disclosure of your personal data for online targeted advertising or for website analytics, go to your Privacy Choices https://abbvieDSRM on our website. For more information on the data categories we collect, the purposes for their collection, our disclosures to third parties, your data subject rights, and our data retention criteria, visit our Privacy Policy https://privacy.abbvie/.